

Indiana Department of Education Consolidated State Application Accountability Workbook

**For
State Grants under Title IX, Part C, Section 9302 of the Elementary and
Secondary Education Act (Public Law 107-110)**

**March 9, 2009
(Incorporating All Previous Amendments)**



U. S. Department of Education
Office of Elementary and Secondary Education
Washington, D.C. 20202

**Summary of Implementation Status for Required Elements of
State Accountability Systems**

Status		State Accountability System Element
Principle 1: All Schools		
F	1.1	Accountability system includes <i>all schools and districts in the state</i> .
F	1.2	Accountability system holds <i>all schools to the same criteria</i> .
F	1.3	Accountability system incorporates the <i>academic achievement standards</i> .
F	1.4	Accountability system provides <i>information in a timely manner</i> .
F	1.5	Accountability system includes <i>report cards</i> .
F	1.6	Accountability system includes <i>rewards and sanctions</i> .
Principle 2: All Students		
F	2.1	The accountability system includes <i>all students</i>
F	2.2	The accountability system has a consistent definition of <i>full academic year</i> .
F	2.3	The accountability system properly includes <i>mobile students</i> .
Principle 3: Method of AYP Determinations		
F	3.1	Accountability system expects <i>all student subgroups, public schools, and LEAs to reach proficiency by 2013-14</i> .
F	3.2	Accountability system has a method for determining whether <i>student subgroups, public schools, and LEAs made adequate yearly progress</i> .
F	3.2a	Accountability system establishes a <i>starting point</i> .
F	3.2b	Accountability system establishes <i>statewide annual measurable objectives</i> .
F	3.2c	Accountability system establishes <i>intermediate goals</i> .
Principle 4: Annual Decisions		
F	4.1	The accountability system <i>determines annually the progress</i> of schools and districts.

Principle 5: Subgroup Accountability		
F	5.1	The accountability system <i>includes all the required student subgroups.</i>
F	5.2	The accountability system holds <i>schools and LEAs accountable for the progress of student subgroups.</i>
F	5.3	The accountability system includes <i>students with disabilities.</i>
F	5.4	The accountability system includes <i>limited English proficient students.</i>
F	5.5	The State has determined the minimum number of students sufficient to yield statistically reliable information for each purpose for which disaggregated data are used.
F	5.6	The State has strategies to protect the privacy of individual students in reporting achievement results and in determining whether schools and LEAs are making adequate yearly progress on the basis of disaggregated subgroups.
Principle 6: Based on Academic Assessments		
F	6.1	Accountability system is based <i>primarily on academic assessments.</i>
Principle 7: Additional Indicators		
F	7.1	Accountability system includes <i>graduation rate for high schools.</i>
F	7.2	Accountability system includes an <i>additional academic indicator for elementary and middle schools.</i>
F	7.3	Additional indicators are valid and reliable.
Principle 8: Separate Decisions for Reading/Language Arts and Mathematics		
F	8.1	Accountability system holds students, schools and districts separately accountable for <i>reading/language arts and mathematics.</i>
Principle 9: System Validity and Reliability		
F	9.1	Accountability system produces <i>reliable decisions.</i>
F	9.2	Accountability system produces <i>valid decisions.</i>
F	9.3	State has a plan for addressing <i>changes in assessment and student population.</i>

Principle 10: Participation Rate		
F	10.1	Accountability system has a means for calculating the <i>rate of participation</i> in the statewide assessment.
F	10.2	Accountability system has a means for <i>applying the 95% assessment criteria to student subgroups and small schools</i> .

STATUS Legend:

F – Final policy

P – Proposed Policy, awaiting State approval

W– Working to formulate policy

PRINCIPLE 1. A single statewide Accountability System applied to all public schools and LEAs.

CRITICAL ELEMENT
1.1 How does the State Accountability System include every public school and LEA in the State?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>Indiana Code 20-31 and State Board of Education Rule 511 IAC 6.2 (Public Law 221-1999, Indiana’s school accountability law, and its implementing regulation) define public school as any school, including an alternative school, operated by a school corporation (Indiana’s term for school district) <u>and</u> any charter school. Indiana Code 20-31-8-2 requires the Indiana Department of Education (IDOE) to compare performance of each school and school corporation to its prior performance as a part of the accountability system.</p> <p>Schools operated by the state (except correctional facilities) are included because they:</p> <ol style="list-style-type: none">1. serve special education students and are required to comply with state and federal special education requirements, including assessment; or2. are required to be accredited. <p>Schools in correctional facilities are included because they:</p> <ol style="list-style-type: none">1. serve special education students and are required to comply with state and federal special education requirements, including assessment;2. are required to consult with the Indiana Department of Education (IDOE) on their educational programs; or3. are served by school corporations. <p>Only seven schools do not include a grade level currently assessed by the Indiana Statewide Testing for Educational Progress (ISTEP+).</p> <p>A school that includes a grade or grades below those for which there is ISTEP+ data is linked with the school that students attend after they leave the school for which there is no ISTEP data. The AYP determination for the school for which there is ISTEP data applies to the feeder school. The 95% participation requirement, for all students and subgroups, is included.</p> <p>At the high school level, since high school by definition begins at Grade 9 regardless of the configuration of a school building, a school that serves grades that are not included in ISTEP+ is “paired” for accountability purposes with the school serving Grade 9 or 10.</p> <p>Indiana implemented annual testing in English/Language Arts and Mathematics in Grades 3-10 in 2004, resulting in practically all schools meeting the minimum group size. Data are aggregated over consecutive years, if necessary, to meet the minimum group size.</p> <p>All schools are included in the accountability system.</p> <p><u>Links to Supporting Evidence:</u></p> <p>Indiana Code 20-31-1 (Applicability) Indiana 20-31-2 (Definitions) Indiana Code 20-31-8 (Assessing Improvement)</p>

CRITICAL ELEMENT

1.2 How are all public schools and LEAs held to the same criteria when making an AYP determination?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

Indiana Code 20-31-8 does not differentiate among public schools or school corporations. Beginning with data for the 2004-2005 school year, all public schools, including charter schools, and school corporations were placed into five categories based on a combination of performance of all students (no adjustment for students who have not attended for a minimum length of time) and improvement of non-mobile students.

PERFORMANCE (% passing ISTEP+)	IMPROVEMENT (Average passing percentage improvement over three years)				
	Exemplary Progress	Commendable Progress	Academic Progress	Academic Watch (Priority)	Academic Probation (High Priority)
≥ 90%					
≥ 80%	≥ 1%	< 1%			
≥ 70%	≥ 3%	≥ 2%	≥ 1%	< 1%	
≥ 60%	≥ 4%	≥ 3%	≥ 2%	< 2%	
≥ 50%	≥ 5%	≥ 4%	≥ 3%	< 3%	< 0%
≥ 40%	≥ 6%	≥ 5%	≥ 4%	≥ 1%	< 1%
< 40%		≥ 6%	≥ 5%	≥ 3%	< 3%

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The middle category, Academic Progress, constitutes demonstrated improvement. Improvement is expected of all schools. Schools are compared to themselves rather than to other schools.

Beginning with data for the 2004-2005 school year, the ESEA AYP definition was integrated into the state system by providing that schools that fail to make AYP for two consecutive years will be placed no higher than the Academic Progress category, but may be placed lower if the state system dictates lower placement.

Consecutive years of failing to make adequate yearly progress are based on failing to meet the measurable annual objective in the same subject (English or mathematics) for consecutive years. If a school or school corporation in a given year fails to meet the annual objective in English but meets the objective in mathematics and in the next year one or more student group(s) fails to meet the objective in English, the school or school corporation has failed to make adequate yearly progress AYP for two consecutive years. If, however, the school or school corporation meets the annual objective in English for all student groups in the second year, then the timeline restarts. If the school or school corporation fails to meet the annual objective in mathematics, it has now failed to make adequate yearly progress for one year. If the school or school corporation meets the annual objective in mathematics, the school or school corporation have no consecutive years of not making adequate yearly progress.

For purposes of school corporation improvement, Indiana will use grade spans [Elementary (K-5), Middle (6-8), and High School (9-12)] at the corporation level for achievement, participation rate, and the other indicator. **Indiana will use graduation rate as the other indicator in determining school corporation AYP.** A corporation is placed in corporation improvement when all grade

spans within a corporation fail to meet standards for two consecutive years in the same subject. A corporation having school corporation improvement status is removed from that status when any grade span meets the standards for two consecutive years in that subject.

A school is considered a "new" school for accountability purposes if it meets the following threshold criteria:

- (1) a change of at least 50 percent of the student population from the previous year; or
 - (2) a change in grade configuration that involves at least 50 percent of the former grade levels, either by elimination or addition;
- accompanied by significant change in educational philosophy or staffing.

The AYP history of the school is considered, and there must be no evidence that the change was made to avoid accountability. The preponderance of the evidence must reasonably lead to the conclusion that comparisons cannot fairly be made to the previous year's performance. If at least 50 percent of the students in a "new" school would have received Supplemental Educational Services in the previous school, the Indiana Department of Education requires the LEA to continue SES eligibility in the new school until it demonstrates AYP for two consecutive years.

Links to Supporting Evidence:

IC 20-31-8 (Assessing Improvement) <http://www.in.gov/legislative/ic/code/title20/ar31/ch8.html>
511 IAC 6.2-6 and 511 IAC 6.6-7 (Assessing School Improvement and Performance; Adequate yearly Progress) <http://www.in.gov/legislative/iac/T05110/A00062.PDF?>
[Overview of AYP in Indiana](#)
[Overview of Indiana Accountability System](#)

CRITICAL ELEMENT

1.3 Does the State have, at a minimum, a definition of *basic*, *proficient* and *advanced* student achievement levels in reading/language arts and mathematics?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

The State has three levels of student achievement: DID NOT PASS, PASS and PASS+. Recommendations for Grades 3, 6, and 8 cut scores (standard-setting process) were conducted in January 2003. Cut scores were adopted on January 21, 2003. The PASS level will be used for AYP purposes. Additional cut scores for grades 4, 5 and 7 and new Grade 10 GQE cut scores were adopted in 2005. Indiana has also adopted cut scores for these three categories for our Science assessment at Grades 5 and 7 and Biology I.

Indiana's Standards and Assessments System is fully approved under NCLB.

Links to Supporting Evidence:

[Grades 3, 6, and 8 Passing Scores](#)
[Grade 5 Science Passing Scores](#)
[Grades 4, 5, and 7 Passing Scores](#)
[Grade 10 Passing Scores](#)
[Grade 7 Science Passing Scores](#)
[Biology I Passing Scores](#)
[Department Approval of Indiana's Standards and Accountability System](#)
[State Accountability System](#)

CRITICAL ELEMENT

1.4 How does the State provide accountability and adequate yearly progress decisions and information in a timely manner?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

ISTEP+ tests are given during September, with results returned prior to Thanksgiving. Schools must meet with parents to discuss remediation plans for students who did not pass. Remediation begins the second semester of the school year. Remediation funds are provided by the State. Funds also are provided for students who are at-risk of failure.

This timeline allows schools enough time to notify parents about public school choice or supplemental educational service options, enough time for parents to make informed decisions, and enough time to implement public school choice and supplemental educational services.

Supplemental services begin as soon as final AYP determinations and identification of schools in school improvement are made.

Links to Supporting Evidence:

[AYP Determinations](#)
[Public School Choice](#) and [Supplemental Educational Services](#)
[Indiana Code 20-32-5](#) (Remediation Required)

CRITICAL ELEMENT

1.5 Does the State Accountability System produce an annual State Report Card?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

The State Report Card is available through the Accountability System for Academic Progress (ASAP) website. The report includes all the required data elements. It is available to the public at the beginning of the academic year. The IDOE is pursuing a Spanish language option.

ASAP includes data not required for state or local report cards but of tremendous value for school improvement planning and public reporting.

Links to Supporting Evidence:

[State Report Card](#)

CRITICAL ELEMENT

1.6 How does the State Accountability System include rewards and sanctions for public schools

and LEAs?¹

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

[Indiana Code 20-31-4](#) and [Indiana Code 20-31-9](#) (along with implementing regulations [511 IAC 6.1](#) and [511 IAC 6.2](#)) prescribe consequences for schools placed in the lowest school improvement and performance category for several years. These include:

- Merging the school with a nearby school that is in a higher category.
- Assigning a special management team to operate all or part of the school.
- The IDOE's recommendations for improving the school.
- Other options for school improvement expressed at the public hearing, including closing the school.
- Revising the school's plan in any of the following areas:
 - Changes in school procedures or operations.
 - Professional development.
 - Intervention for individual teachers or administrators.

The state's school accreditation system (IC 20–31-4-1 and 511 IAC 6.1) places a school corporation on probation if most of its schools are on probation. The Department of Education must make recommendations to the Indiana General Assembly on the continued operation of the probationary corporation.

Charter schools are subject to having their charter revoked if they fail to meet targets specified in the charter.

Title I schools and school corporations are subject to the requirements of Section 1116 of NCLB.

[Indiana Code 20-31-7](#) establishes educational achievement grants for increases in ISTEP+ performance. A rewards program has been in existence since 1987. Rewards include both monetary and non-monetary rewards. Monetary awards are proportional to the increases in achievement. Schools with the greatest improvement receive the greatest per pupil award.

The IDOE has created a Four Star school program to recognize the highest performing schools. The program will be modified to include a requirement that Four Star schools must make AYP.

Links to Supporting Evidence:

[Indiana Code 20-31-9](#) (Consequences)
[511 Indiana Administrative Code 6.2-6](#) (Assessing School Improvement and Performance)
[511 Indiana Administrative Code 6.2-7](#) (Adequate Yearly Progress)
[Indiana Code 20-31-4](#) (Performance-Based Accreditation)
[511 Indiana Administrative Code 6.1-1](#) (School Accreditation System)
[Indiana Code 20-31-7](#) (Student Educational Achievement Grants (not funded currently))
[Consequences Under State Accountability System](#)
[Four Star School Award](#)

¹ The state must provide rewards and sanctions for all public schools and LEAs for making adequate yearly progress, except that the State is not required to hold schools and LEAs not receiving Title I funds to the requirements of section 1116 of NCLB [§200.12(b)(40)].

PRINCIPLE 2. All students are included in the State Accountability System.

CRITICAL ELEMENT
2.1 How does the State Accountability System include all students in the State?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>Indiana Code 20-32-5 and the ISTEP Program Manual provide that all students must participate in ISTEP+ in English and Mathematics at Grades 3 – 10 and in Science at Grade 5 and 7 and Biology I.</p> <p>Links to Supporting Evidence:</p> <p>Indiana Code 20-32-5 (Indiana Statewide Testing for Educational Progress) Indiana Code 20-31-8 (Assessing Improvement) 511 Indiana Administrative Code 6.2-6 (Assessing School Improvement and Performance) ISTEP Program Manual</p>
CRITICAL ELEMENT
2.2 How does the State define “full academic year” for identifying students in AYP decisions?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>Since the Improving America’s Schools Act, Indiana has used the traditional October 1 class enrollment and staffing reporting date to determine if a student has been enrolled for a full academic year. This is the second of two fall reporting dates. It corresponds to enrollment for 162 days.</p> <p>The state ensures consistent application by collecting days of enrollment for every student through the Student Test Number System.</p> <p>Links to Supporting Evidence:</p> <p>511 Indiana Administrative Code 6.2-7-7 (Full Academic year)</p>
CRITICAL ELEMENT
2.3 How does the State Accountability System determine which students have attended the same public school and/or LEA for a full academic year?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

The Indiana Department of Education implemented a Student Test Number (STN) system beginning with the 2002-2003 school year. Data about student characteristics (subgroup membership), achievement, and enrollment are collected electronically through the STN system by assigning a unique number that is associated with a student throughout the student's academic career. Data, including days of enrollment, are reported at the school level. This system will identify achievement data for students who have attended multiple corporations or multiple schools within a single corporation during any given school year.

Links to Supporting Evidence:

[Student Test Number System](#)

PRINCIPLE 3. State definition of AYP is based on expectations for growth in student achievement that is continuous and substantial, such that all students are proficient in reading/language arts and mathematics no later than 2013-2014.

CRITICAL ELEMENT
<p>3.1 How does the State's definition of adequate yearly progress require all students to be proficient in reading/language arts and mathematics by the 2013-2014 academic year?</p>
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>The State Board of Education has adopted the ESEA Goals and Indicators, including the expectation that, by 2013-2014, all students will reach high standards, at a minimum attaining proficiency or better in reading/language arts and mathematics.</p> <p>The State Board of Education rule incorporating AYP into the state accountability system was adopted on March 6, 2003.</p> <p>Links to Supporting Evidence:</p> <p>511 Indiana Administrative Code 6.2-7 (Adequate Yearly Progress)</p>
CRITICAL ELEMENT
<p>3.2 How does the State Accountability System determine whether each student subgroup, public school and LEA makes AYP?</p>
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>The Student Test Number System captures all required data. The State Board of Education rule, adopted on March 6, 2003, includes a requirement that, for a public school and school corporation to make adequate yearly progress, each student subgroup must meet or exceed the State annual measurable objectives, each student subgroup must have at least a 95% participation rate in the statewide assessments, and the school must meet the State's requirement for other academic indicators. If in any particular year a student subgroup does not meet those annual measurable objectives, the public school or LEA may be considered to have made AYP if the percentage of students in that group who did not meet or exceed the proficient level of academic achievement on the State assessments for that year decreased by 10% of that percentage from the preceding public school year; that group made progress on one or more of the State's academic indicators; and that group had at least 95% participation rate on the statewide assessment.</p> <p>Links to Supporting Evidence:</p>

CRITICAL ELEMENT

[511 Indiana Administrative Code 6.2-7](#) (Adequate Yearly Progress)

CRITICAL ELEMENT

3.2a What is the State's starting point for calculating Adequate Yearly Progress?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

ESEA provides that "data for the 2001-2002 school year" shall be used to define the starting point for the accountability system. Indiana's ISTEP+ test is given in the fall, determines mastery of the previous year's standards, and is the appropriate measure of value added to the student's achievement level by the previous school. The data that measure achievement for the 2001-2002 school year are the 2002 test results. These data were used as the state's starting point.

Indiana implemented new, more rigorous Academic Standards in the Fall 2002 ISTEP+ tests at Grades 3, 6, 8. Vertical scaling tests were given at Grades 4, 5, 7, 9. The Fall 2004 tests were given in Grades 3 through 10, and the new, more rigorous Academic Standards were incorporated into the Grade 10 test, which serves as the Graduation Qualifying Examination, in 2004.

The formula for calculating the starting point is included in the State Board of Education rule.

Links to Supporting Evidence:

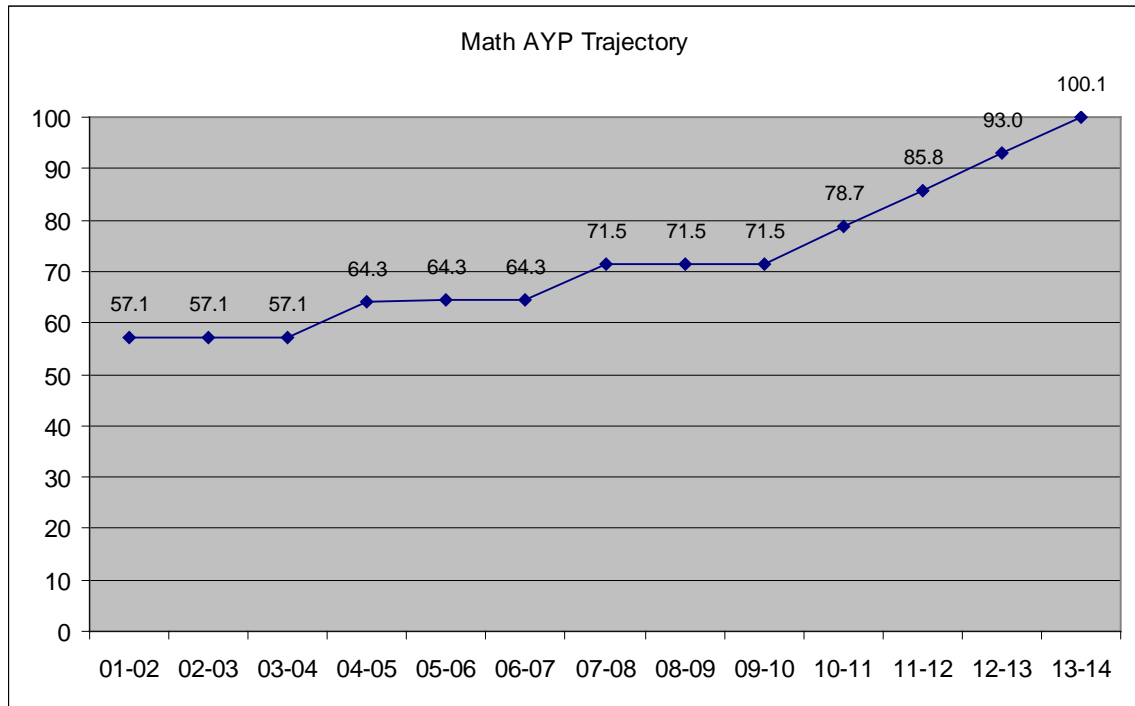
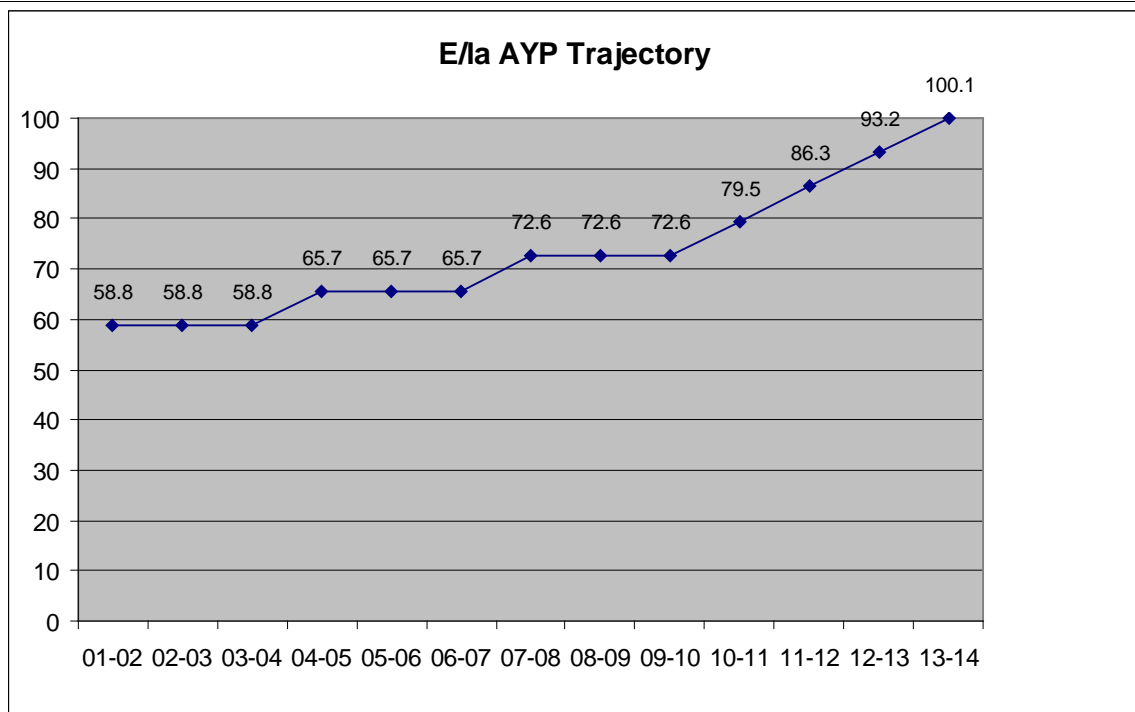
[511 Indiana Administrative Code 6.2-7](#) (Adequate Yearly Progress)

CRITICAL ELEMENT

3.2b What are the State's annual measurable objectives for determining adequate yearly progress?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

The State Board of Education amended its rules on March 6, 2003, to incorporate AYP into the state accountability system. The rule includes the following annual measurable objectives for the minimum percentage of students who must meet the PASS level on ISTEP+.



Links to Supporting Evidence:

[511 Indiana Administrative Code 6.2-7](#) (Adequate Yearly Progress)

CRITICAL ELEMENT		
3.2c What are the State's intermediate goals for determining adequate yearly progress?		
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS		
<p>The State Board of Education rule, adopted on March 6, 2003, incorporates intermediate goals for the minimum percentage of students achieving PASS level on ISTEP+. The intermediate goals, visually illustrated in the previous section, require equal increases in:</p> <p>2004-2005 2007-2008 2010-2011 2011-2012 2012-2013</p> <p>Links to Supporting Evidence:</p> <p>511 Indiana Administrative Code 6.2-7 (Adequate Yearly Progress)</p>		

PRINCIPLE 4. State makes annual decisions about the achievement of all public schools and LEAs.

CRITICAL ELEMENT
4.1 How does the State Accountability System make an annual determination of whether each public school and LEA in the State made AYP?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>Beginning with 2001-2002 data, AYP determinations were made annually based on the ESEA AYP definition. Beginning with the 2003-04 data, AYP determinations have been made based on the higher of the current performance or an average of the three previous years' performance. State accountability decisions under IC 20-31-8 and 511 IAC 6.2 are made annually, beginning with 2004-2005 data, based on the current performance level and a three-year rolling average for the improvement measure.</p> <p><u>AYP Determinations During Transition to New Assessments</u> – The Indiana Department of Education also requests permission to amend its NCLB Accountability Workbook to provide a method to make 2008-2009 and 2009-2010 AYP determinations during the transition from fall testing in Grades 3 – 8 and 10 to spring testing in Grades 3 – 8 and end of course assessments in high school.</p> <p>Indiana's Graduation Qualifying Examination was administered to Grade 10 students in fall 2008. Results from this test will be used to make AYP determinations for high schools and determine school improvement status for the 2009-10 school year. End of course assessments will be operational in the 2009-2010 school year and will be used to determine school improvement status for the 2010-2011 school year.</p> <p>Indiana's ISTEP+ tests were administered in Grades 3 – 8 in fall 2008 and spring 2009. The results from the fall 2008 tests will be used to make AYP determinations for elementary and middle schools and determine school improvement status for the 2009-2010 school year. Spring 2010 ISTEP+ test results will be used to determine school improvement status for the 2010-2011 school year.</p> <p><u>Links to Supporting Evidence:</u></p> <p>Indiana Code 20-31-8 (Assessing Improvement) 511 Indiana Administrative Code 6.2-7 (Adequate Yearly Progress)</p>

PRINCIPLE 5. All public schools and LEAs are held accountable for the achievement of individual subgroups.

CRITICAL ELEMENT
5.1 How does the definition of adequate yearly progress include all the required student subgroups?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>The State Board of Education amended its rules on March 6, 2003, to include all required student subgroups in the AYP definition. Data are collected through the Student Test Number system.</p> <p><u>Links to Supporting Evidence:</u></p> <p>511 Indiana Administrative Code 6.2-7 (Adequate Yearly Progress) Student Test Number System</p>
CRITICAL ELEMENT
5.2 How are public schools and LEAs held accountable for the progress of student subgroups in the determination of adequate yearly progress?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>The State Board of Education’s rule (adopted on March 6, 2003) provides that each student subgroup must meet annual objectives in English and mathematics for a school or school corporation to make adequate yearly progress. Students are considered members of the subgroup so long as they meet criteria for subgroup membership. Students with disabilities are defined under section 602(3) of the Individuals with Disabilities Education Act. Limited English proficient students will be included until they score at the proficient level on our test of English proficiency for two consecutive years. This is consistent with Section 9101(25) of the NCLB Act which includes, as a part of the definition of limited English proficient:</p> <p style="padding-left: 40px;">...an individual whose difficulties in speaking, reading, writing, or understanding the English language may be sufficient to deny the individual —</p> <p style="padding-left: 80px;">(i) the ability to meet the State's proficient level of achievement on State assessments described in section 1111(b)(3);</p> <p style="padding-left: 80px;">(ii) the ability to successfully achieve in classrooms where the language of instruction is English; or</p> <p style="padding-left: 80px;">(iii) the opportunity to participate fully in society.</p> <p>Indiana believes scoring at the proficient level two consecutive years is evidence that students have overcome difficulties in speaking, reading, writing, or understanding the English language.</p>

For purposes of calculating AYP, limited English proficient students will be included in the LEP subgroup for two years after those students are no longer considered to be limited English proficient. Such students will not be included when determining if the LEP subgroup meets the minimum subgroup membership for inclusion in AYP calculations.

Indiana will include the scores of students who were formerly categorized as students with disabilities in AYP calculations for the students with disabilities subgroup, as permitted by 34 CFR Section 200.20(f) and described in Part C of the Department's guidance on Additional Title I Provisions included in the Regulations Package on Modified Academic Achievement Standards.

Links to Supporting Evidence:

[511 Indiana Administrative Code 6.2-7](#) (Adequate Yearly Progress)

CRITICAL ELEMENT

5.3 How are students with disabilities included in the State's definition of adequate yearly progress?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

All students with disabilities participate in ISTEP+, with accommodations if necessary, unless the Individual Education Plan specifically states otherwise. For those not able to participate in ISTEP+, as documented in the IEP, the State has developed ISTAR, an alternative assessment that allows the teacher and student to demonstrate student achievement against IEP goals, including academic standards.

ISTAR was designed in the broader context of instructional accountability, connecting standards-based classroom assessments to appropriate prescriptive instruction. Assessment elements have been drawn from the indicators provided within the Indiana academic standards. Continuums of these elements were organized and extended to include functional achievement indicators. The resulting master map of student progress includes measures in the domains of mathematics, English/language arts, and functional achievement.

Students who participate in ISTEP are included in reporting and accountability determinations. Students with disabilities who participate in ISTAR, as determined by the case conference committee, and whose achievement is measured against grade level academic standards are included in reporting and accountability determinations. (See Critical element 5.4 for description of the process to translate ISTAR results to ISTEP+ scale score.

Indiana believes it is appropriate to measure achievement of students with the most severe disabilities, those who participate in the alternate assessment, against different standards. Students with the most significant cognitive disabilities will be included as proficient in AYP determinations based on alternate achievement standards as long as the number of those proficient scores does not exceed one percent of all students in the grades assessed. The one percent cap is not applied to school AYP determination. A school corporation may submit, and the state may approve, a request to exceed the one percent cap for school corporation AYP determinations if the school corporation: (1) documents that the incidence of students with the most significant cognitive disabilities exceeds one percent of all students in the grades assessed; (2) provides an explanation for the incidence rate that satisfies state established criteria for exceeding the one percent cap; and (3) documents that the school corporation is addressing the requirements of 34 CFR 200.6(a)(2)(iii).

For tests administered in 2004-2005, 2005-2006, 2006-2007, 2007-2008, and 2008-2009, Indiana will employ Transition Option 1 announced by the Secretary of Education on May 10, 2005, and February 7, 2007, by the Assistant Secretary on November 19, 2007, and by the Director of Student Achievement and School Accountability on December 5, 2008. Indiana will calculate a proxy to determine the percentage of special education students (as defined in the Individuals with Disabilities Education Act) that is equivalent to 2.0 percent of all students assessed. For this year only, this proxy will then be added to the percent of students with disabilities who are proficient. This adjusted percent proficient is what Indiana will use to determine if a school made AYP.

To determine the proxy, Indiana will divide 2.0 by the percentage of students who have disabilities. This number, 14.0 percent, equates to 2.0 percent of the total number of students assessed within the State solely within the SWD subgroup. This number will be a constant for every school and will be the basis for AYP determinations.

Indiana will identify all schools that did not make AYP solely on the basis of the SWD subgroup and the proficiency rate of those students in each school. The adjusted percent proficient for each school's SWD subgroup is equal to the sum of the actual percent of proficient scores of this subgroup plus 14.0 percent.

Indiana will compare this adjusted percent proficient for each school State's annual measurable objective (AMO). This comparison will be conducted without the use of the confidence interval included in making AYP determinations.

If the adjusted proficiency rate for the school's SWD subgroup meets or exceeds the State's AMO, the school will be considered to have made AYP.

This process will be followed for reading and mathematics separately and repeated at the district level.

The actual percent proficient will be reported to parents and the public.

Links to Supporting Evidence:

- [ISTEP Program Manual](#)
- [Indiana Standards Tool for Alternate Reporting](#)
- [511 Indiana Administrative Code 7 \(Special Education\)](#)

CRITICAL ELEMENT

5.4 How are students with limited English proficiency included in the State's definition of adequate yearly progress?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

All limited English proficient (LEP) students participate in statewide assessments. Indiana cannot legally offer foreign language versions of ISTEP+. Students will be assessed on ISTEP+ in English. Accommodations are provided on ISTEP+ if necessary.

For LEP students who are in their first year of enrollment in a U.S. school, schools are not required to assess those students on the English/language arts test. Such students will be included as participating when calculating the required participation rate in English/language arts

if they are assessed on the English language proficiency assessment. Such students must be assessed against academic content standards in mathematics, but the scores of the students will not be included in AYP calculations. Such students also must participate in the science assessment if they are enrolled in a grade at which science is tested.

Links to Supporting Evidence:

[ISTEP Program Manual](#)

CRITICAL ELEMENT

5.5 What is the State's definition of the minimum number of students in a subgroup required for reporting purposes? For accountability purposes?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

The State Board of Education accountability rule includes the following minimum number of students for subgroup reporting and accountability:

- 10 students for reporting
- 30 students, with a test of statistical significance, for subgroups

Links to Supporting Evidence:

[511 Indiana Administrative Code 6.2-7](#) (Adequate Yearly Progress)

CRITICAL ELEMENT

5.6 How does the State Accountability System protect the privacy of students when reporting results and when determining AYP?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

Student information sent through the Student Test Number (STN) system is secure. For accountability purposes the STN system requires that a test number, with accompanying student characteristics, be associated with test results. A student's name is not needed.

The State Board of Education's accountability rule provides that percentages close to 100% will be reported as "more than 95%." Percentages close to 0% will be reported as "less than 5%."

Link to Supporting Evidence:

[Student Test Number System](#)
[511 Indiana Administrative Code 6.2-7](#) (Adequate Yearly Progress)

PRINCIPLE 6. State definition of AYP is based primarily on the State’s academic assessments.

CRITICAL ELEMENT
6.1 How is the State’s definition of adequate yearly progress based primarily on academic assessments?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>Indiana Code 20-31-8-1 provides that results from ISTEP+ and other academic assessments are the primary means of determining improvement. State Board rules 511 IAC 6.2-6-2 through 511 IAC 6.2-6-6 describe how the assessments are used.</p> <p><u>Links to Supporting Evidence:</u></p> <p>Indiana Code 20-31-8 (Assessing Improvement) 511 Indiana Administrative Code 6.2-6 (Assessing School Improvement and Performance)</p>

PRINCIPLE 7. State definition of AYP includes graduation rates for public High schools and an additional indicator selected by the State for public Middle and public Elementary schools (such as attendance rates).

CRITICAL ELEMENT
7.1 What is the State definition for the public high school graduation rate?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>Indiana Code 20-26-13 defines graduation rate as the percentage of students who graduate within four years or less with a regular high school diploma. GED recipients are not included as graduates. Dropouts are not counted as transfers.</p> <p>Data are collected on an individual student basis through the Student Test Number System.</p> <p>Data are collected by student subgroups. Graduation rate is included (in the aggregate) for AYP, and disaggregated (as necessary) for use when applying the exception clause to make AYP.</p> <p><u>Links to Supporting Evidence:</u></p> <p>Indiana Code 20-26-13 (Graduation Rate)</p>
CRITICAL ELEMENT
7.2 What is the State’s additional academic indicator for public elementary schools for the definition of AYP? For public middle schools for the definition of AYP?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

The State Board of Education accountability rule identifies attendance rate as the additional indicator for elementary schools and middle schools. An initial target rate of 95% also was established.

Attendance rate is defined under 511 IAC 1-3-3 as the aggregate days of attendance in a school or school district divided by the aggregate days of enrollment. Attendance information is collected by student subgroup through the [Student Test Number System](#). Attendance rate is included (in the aggregate) for AYP, and disaggregated (as necessary) for use when applying the exception clause to make AYP.

Links to Supporting Evidence:

[511 Indiana Administrative Code 1-3-3](#) (Attendance and Membership Reporting)
[511 Indiana Administrative Code 6.2-7](#) (Adequate Yearly Progress)

CRITICAL ELEMENT

7.3 Are the State's academic indicators valid and reliable?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

Indiana's graduation rate definition is consistent with ESEA requirements. With the Student Test Number system, the calculation will be reliable.

Attendance is related to achievement. Indiana Code 20-31-5-4 requires schools to have goals for increases in attendance rates. Under State Board rule 511 IAC 1-3-3, attendance is taken two times a day, and the attendance rate is calculated in half-day increments. Excused absences are not recognized. Students are in attendance or they are not. Information is collected through the Student Test Number system and is reliable.

Link to Supporting Evidence:

[Indiana Code 20-26-13](#) (Graduation Rate)
[Indiana Code 20-31-5-4](#) (Strategic and Continuous School Improvement and Achievement Plan)
[511 Indiana Administrative Code 1-3-3](#) (Attendance and Membership Reporting)

PRINCIPLE 8. AYP is based on reading/language arts and mathematics achievement objectives.

CRITICAL ELEMENT
8.1 Does the state measure achievement in reading/language arts and mathematics separately for determining AYP?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>Achievement in English and mathematics is measured separately against defined standards and achievement levels for every school corporation. For state accountability purposes (see 511 IAC 6.2-6-4), subject areas are combined because students are expected to meet standards both in English and math. For determination of AYP, each subject area will be considered separately in accordance with ESEA and under the State Board of Education rule.</p> <p>Data are collected for each school corporation, public school, and student subgroup.</p> <p><u>Links to Supporting Evidence:</u></p> <p>511 Indiana Administrative Code 6.2-6 (Assessing School Improvement and Performance) 511 Indiana Administrative Code 6.2-7 (Adequate Yearly Progress)</p>

PRINCIPLE 9. State Accountability System is statistically valid and reliable.

CRITICAL ELEMENT
9.1 How do AYP determinations meet the State's standard for acceptable reliability?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>Indiana has created measures to ensure reliability at every step of the accountability process. As documented in the technical report, ISTEP+ meets requirements for acceptable reliability. Parents may have their child's test rescored on demand. (IC 20-32-5-9) Graduation Qualifying Examination tests are scored twice. Incorporating a test of statistical significance (one-tailed binomial test of significance at the .01 level, or at the .25 level in determining the decrease in percentage of students in a group who did not meet or exceed the proficient level of academic achievement on the State assessments) increases confidence in AYP decisions.</p> <p>In determining the percent of decrease in students performing below proficient, a positive only confidence interval shall be established at the 0.75 level. The application of the confidence interval intends to assure greater reliability and validity of results when a school makes positive increases in student performance.</p> <p>The State has incorporated findings from <i>Making Valid and Reliable Decisions in Determining Adequate Yearly Progress</i>, developed by the Council of Chief State School Officers with financial support from the U.S. Department of Education. Annual technical reports are prepared and are available. The then most current report was submitted as a part of the Standards and Assessment Peer Review.</p> <p><u>Links to Supporting Evidence:</u></p> <p>Indiana Code 20-32-5 (Indiana Statewide Testing for Educational Progress)</p>
CRITICAL ELEMENT
9.2 What is the State's process for making valid AYP determinations?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>The State Board of Education accountability rule creates an appeal process that allows a school or school corporation to appeal its category placement based on objective factors the school considers relevant because the annual assessment data does not provide an accurate picture of school improvement and performance, including significant demographic changes in the student population, errors in data, or other significant issues.</p> <p><u>Links to Supporting Evidence:</u></p> <p>511 Indiana Administrative Code 6.2-6 (Assessing School Improvement and Performance)</p>

CRITICAL ELEMENT

9.3 How has the State planned for incorporating into its definition of AYP anticipated changes in assessments?

STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS

The state owns all items for ISTEP+. Beginning with Fall 2002 test results, ISTEP+ used a continuous vertical scale. A linking study equated results from pre-2002 tests with the new tests. A similar process was followed when new, more rigorous academic standards were incorporated at Grade 10. Changes in ISTEP+, either to standards or test vendor will not prevent Indiana from measuring progress.

Because a Student Test Number system is in place, baseline achievement data for a new school are immediately available.

A school is considered a "new" school for accountability purposes if it meets the following threshold criteria:

- (1) a change of at least 50 percent of the student population from the previous year; or
 - (2) a change in grade configuration that involves at least 50 percent of the former grade levels, either by elimination or addition;
- accompanied by significant change in educational philosophy or staffing.

The AYP history of the school is considered, and there must be no evidence that the change was made to avoid accountability. The preponderance of the evidence must reasonably lead to the conclusion that comparisons cannot fairly be made to the previous year's performance. If at least 50 percent of the students in a "new" school would have received Supplemental Educational Services (SES) in the previous school, the Indiana Department of Education requires the LEA to continue SES eligibility in the new school until it demonstrates AYP for two consecutive years.

Links to Supporting Evidence:

[511 Indiana Administrative Code 6.2-6](#) (Assessing School Improvement and Performance)

PRINCIPLE 10. In order for a public school or LEA to make AYP, the State ensures that it assessed at least 95% of the students enrolled in each subgroup.

CRITICAL ELEMENT
10.1 What is the State's method for calculating participation rates in the State assessments for use in AYP determinations?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>For state tuition support purposes, State Board rules require school corporations to report student enrollment information (by Student Test Number) on the second Friday following Labor Day. (511 IAC 1-3-1) The ISTEP+ testing period begins Monday following the enrollment report. The tuition support data is collected on the school day immediately preceding the testing period, and this count will serve as the denominator for calculating participation rates. A school may exclude a student from the participation rate calculation rate if the student cannot take ISTEP+ during the entire testing window due to a significant medical emergency documented by a physician's statement.</p> <p>Schools also report data about students who participate in assessment by Student Test Number, allowing the State to match assessment participation against enrollment and calculate a participation rate.</p> <p>The State Board's accountability rule includes 95% participation, determined independently for English and mathematics, as a requirement for meeting AYP goals.</p> <p>The participation rate used in AYP determinations is the higher of the current rate or the average of the current rate and the rates from the two previous years.</p> <p>511 Indiana Administrative Code 1-3 (Attendance and Membership Reporting)</p>
CRITICAL ELEMENT
10.2 What is the State's policy for determining when the 95% assessed requirement should be applied?
STATE RESPONSE AND STATE ACTIVITIES FOR MEETING REQUIREMENTS
<p>The State Board of Education accountability rule requires at least 95% participation of all students and all students in identified subgroups when the number of students is at least 40. A group size smaller than 40 would only permit one student to be absent and still meet the 95% requirement.</p> <p><u>Links to Supporting Evidence:</u></p> <p>511 Indiana Administrative Code 6.2-6 (Assessing School Improvement and Performance)</p>